## Regular sequences in abstract numeration systems

Émilie Charlier

joint work with Célia Cisternino and Manon Stipulanti

Département de mathématiques, ULiège

One World Seminar on Combinatorics on Words 2020, November 23

▲□▶ ▲□▶ ▲□▶ ▲□▶ ■ ●の00

## Part 1

 $(\boldsymbol{\mathcal{S}},\mathbb{K})$ -regular sequences



# Abstract numeration systems

An ANS is a triple S = (L, A, <) where L is an infinite regular language over a totally ordered alphabet (A, <).

The words in L are ordered with respect to the radix order  $<_{\rm rad}$  induced by the order < on A.

The S-representation function  $\operatorname{rep}_{S} \colon \mathbb{N} \to L$  maps any non-negative integer n onto the nth word in L.

・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・

The S-value function  $\operatorname{val}_{\mathcal{S}} \colon L \to \mathbb{N}$  is the reciprocal function of  $\operatorname{rep}_{\mathcal{S}}$ .

(Lecomte & Rigo 2001)

Running example:  $S = (a^*b^*, a < b)$ 

.

n	$\operatorname{rep}_{\mathcal{S}}(n)$	n	$\operatorname{rep}_{\mathcal{S}}(n)$	n	$\operatorname{rep}_{\mathcal{S}}(n)$
0	ε	8	abb	16	aaaab
1	а	9	bbb	17	aaabb
2	b	10	аааа	18	aabbb
3	аа	11	aaab	19	abbbb
4	ab	12	aabb	20	bbbbb
5	bb	13	abbb	21	аааааа
6	ааа	14	bbbb	22	aaaaab
7	aab	15	ааааа	23	aaaabb

$$\operatorname{val}_{\mathcal{S}}(a^p b^q) = rac{(p+q)(p+q+1)}{2} + q$$

# Other examples

- ▶ Integer base *b* numeration systems correspond to the ANS  $S_b = (\{1, \dots, b-1\}\{0, \dots, b-1\}^* \cup \{\varepsilon\}, 0 < 1 < \dots < b-1).$
- The Zeckendorf numeration system corresponds to the ANS S<sub>F</sub> = (1{0,01}\* ∪ {ε}, 0 < 1).</p>
- More generally, numeration systems based on a sequence U = (U<sub>i</sub>)<sub>i≥0</sub> and having a regular numeration language.

All Pisot numeration systems.

## Representing elements of $\mathbb{N}^d$

We will work with a *d*-tuple  $S = (S_1, \ldots, S_d)$  of ANS

$$S_1 = (L_1, A_1, <_1), \ldots, S_d = (L_d, A_d, <_d).$$

Let  $\# \notin A_1 \cup \cdots \cup A_d$  and the numeration alphabet is

$$oldsymbol{A} = ig((A_1 \cup \{\#\}) imes \cdots imes (A_d \cup \{\#\})ig) \setminus igg\{igg( egin{array}{c} \# \ dots \ \# \ \end{pmatrix}igg\}.$$

For a *d*-tuple

$$\begin{pmatrix} w_1 \\ \vdots \\ w_d \end{pmatrix} \in A_1^* \times \cdots \times A_d^*$$

we set

$$\begin{pmatrix} {}^{w_1} \\ \vdots \\ {}^{w_d} \end{pmatrix}^{\#} = \begin{pmatrix} {}^{\#^{\ell-|w_1|}w_1} \\ \vdots \\ {}^{\#^{\ell-|w_d|}w_d} \end{pmatrix} \in \boldsymbol{A}^*$$

where  $\ell = \max\{|w_1|, ..., |w_d|\}.$ 

The numeration language is  $\boldsymbol{L} = (L_1 \times \cdots \times L_d)^{\#}$ .

Since the languages  $L_1, \ldots, L_d$  are regular,  $\boldsymbol{L}$  is a regular language over  $\boldsymbol{A}$ . Then

$$\operatorname{rep}_{\boldsymbol{\mathcal{S}}} \colon \mathbb{N}^{d} \to \boldsymbol{L}, \ \begin{pmatrix} n_{1} \\ \vdots \\ n_{d} \end{pmatrix} \mapsto \begin{pmatrix} \operatorname{rep}_{\mathcal{S}_{1}}(n_{1}) \\ \vdots \\ \operatorname{rep}_{\mathcal{S}_{d}}(n_{d}) \end{pmatrix}^{\#}$$

and

$$\operatorname{val}_{\boldsymbol{\mathcal{S}}} \colon \boldsymbol{\mathcal{L}} \to \mathbb{N}^{d}, \ \begin{pmatrix} {}^{w_1} \\ \vdots \\ {}^{w_d} \end{pmatrix} \mapsto \begin{pmatrix} \operatorname{val}_{\mathcal{S}_1}(\tau_{\#}(w_1)) \\ \vdots \\ \operatorname{val}_{\mathcal{S}_d}(\tau_{\#}(w_d)) \end{pmatrix}$$

where  $\tau_{\#}$  is the morphism that erases the letter # and leaves the other letters unchanged.

▲□▶ ▲□▶ ▲ 三▶ ▲ 三▶ 三三 - のへぐ

# Running Example

Consider the 2-dimensional ANS  $\boldsymbol{\mathcal{S}} = (\mathcal{S}, \mathcal{S}).$ 

We have

$$A = \left\{ \left( \begin{array}{c} \# \\ a \end{array} \right), \left( \begin{array}{c} \# \\ b \end{array} \right), \left( \begin{array}{c} a \\ \# \end{array} \right), \left( \begin{array}{c} a \\ a \end{array} \right), \left( \begin{array}{c} a \\ b \end{array} \right), \left( \begin{array}{c} b \\ \# \end{array} \right), \left( \begin{array}{c} b \\ a \end{array} \right), \left( \begin{array}{c} b \\ b \end{array} \right) \right\}$$
$$L = \left( a^* b^* \times a^* b^* \right)^{\#}.$$

For instance,

• rep<sub>*S*</sub>
$$\begin{pmatrix} 4 \\ 9 \end{pmatrix} = \begin{pmatrix} \#ab \\ bbb \end{pmatrix} = \begin{pmatrix} \# \\ b \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} \begin{pmatrix} b \\ b \end{pmatrix}$$
  
• val<sub>*S*</sub> $\begin{pmatrix} aab \\ \#\#a \end{pmatrix} = \begin{pmatrix} val_{S}(aab) \\ val_{S}(a) \end{pmatrix} = \begin{pmatrix} 7 \\ 1 \end{pmatrix}$ .

# $(\boldsymbol{\mathcal{S}},\mathbb{K})$ -Regular sequences

In this talk,  $\mathbb{K}$  designates an arbitrary commutative semiring. A sequence  $f : \mathbb{N}^d \to \mathbb{K}$  is called  $(\mathcal{S}, \mathbb{K})$ -regular if the series

$$S_f := \sum_{w \in L} f(\operatorname{val}_{\mathcal{S}}(w)) w$$

・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・

is  $\mathbb{K}$ -recognizable.

Background on noncommutative formal series

A series is an application

$$S: A^* \to \mathbb{K}, \ w \mapsto (S, w).$$

It is also denoted

$$\sum_{w\in A^*}(S,w)w.$$

A series is K-recognizable if there exist  $\mu \colon A \to \mathbb{K}^{r \times r}$ ,  $\lambda \in \mathbb{K}^{1 \times r}$  and  $\gamma \in \mathbb{K}^{r \times 1}$  such that

$$\forall a_1,\ldots,a_\ell \in A, \quad (S,a_1\cdots a_\ell) = \lambda \mu(a_1)\cdots \mu(a_\ell)\gamma.$$

▲□▶ ▲□▶ ▲□▶ ▲□▶ ■ ●の00

The triple  $(\lambda, \mu, \gamma)$  is called a linear representation of S.

# Running Example

Consider the sequence

 $f: \mathbb{N}^2 \to \mathbb{N}, \ ( \ {n \atop n} ) \mapsto \max | \mathrm{Suff}(\mathrm{rep}_{\mathcal{S}}(m)) \cap \mathrm{Suff}(\mathrm{rep}_{\mathcal{S}}(n)) |.$ 

We have

$$S_f = \sum_{oldsymbol{w} \in oldsymbol{L}} (S, oldsymbol{w}) oldsymbol{w}$$

where

$$S: \mathbf{A}^* \to \mathbb{N}, \ \left(\begin{smallmatrix} u \\ v \end{smallmatrix}\right) \mapsto \max |\mathrm{Suff}(u) \cap \mathrm{Suff}(v)|.$$

Since  $\boldsymbol{L}$  is a regular language, the series  $S_f$  is  $\mathbb{N}$ -recognizable if so is S. A linear representation  $(\lambda, \mu, \gamma)$  of S is given by

$$\begin{split} \lambda &= \left(\begin{smallmatrix} 0 & 1 \end{smallmatrix}\right), \ \gamma &= \left(\begin{smallmatrix} 1 \\ 0 \end{smallmatrix}\right), \\ \mu \left(\begin{smallmatrix} a \\ a \end{smallmatrix}\right) &= \mu \left(\begin{smallmatrix} b \\ b \end{smallmatrix}\right) &= \left(\begin{smallmatrix} 1 & 0 \\ 1 & 1 \end{smallmatrix}\right), \\ \mu(\boldsymbol{a}) &= \left(\begin{smallmatrix} 0 & 0 \\ 0 & 1 \end{smallmatrix}\right) \text{ for } \boldsymbol{a} \in \boldsymbol{A} \setminus \left\{ \left(\begin{smallmatrix} a \\ a \end{smallmatrix}\right), \left(\begin{smallmatrix} b \\ b \end{smallmatrix}\right) \right\}. \end{split}$$

Thus,  $S_f$  is  $\mathbb{N}$ -recognizable, and hence the sequence f is  $(S_f \mathbb{N})$ -regular.

If we take the convention to pad representations on the right, then we get a different notion of  $(S, \mathbb{K})$ -regular sequences.

In the unidimensional case, the two notions coincide (since no padding is necessary).

However, there is no such nice analogy in higher dimensions since it might be that a left  $(\mathcal{S}, \mathbb{K})$ -regular sequence is not a right  $(\mathcal{S}, \mathbb{K})$ -regular sequence, or vice-versa.

・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・

### Part 2

 $\mathcal{S}$ -kernel of a sequence

## $\mathcal{S}$ -kernel of a sequence

Working hypothesis (WH). The numeration language *L* is prefix-closed:

$$\forall u, v \in A^*, \quad uv \in L \implies u \in L.$$

(This amounts to asking that all languages  $L_1, \ldots, L_d$  are prefix-closed.) For  $f \colon \mathbb{N}^d \to \mathbb{K}$  and  $w \in A^*$ , we define a sequence

$$f \circ \boldsymbol{w} \colon \mathbb{N}^d \to \mathbb{K}$$

by setting

$$orall m{n} \in \mathbb{N}^d, \quad (f \circ m{w})(m{n}) = egin{cases} f(\operatorname{val}_{\mathcal{S}}(\operatorname{rep}_{\mathcal{S}}(m{n})m{w})) & ext{if } \operatorname{rep}_{\mathcal{S}}(m{n})m{w} \in m{L} \\ 0 & ext{else.} \end{cases}$$

The **S**-kernel of f is the set  $\ker_{\mathcal{S}}(f) = \{f \circ \boldsymbol{w} : \boldsymbol{w} \in \boldsymbol{A}^*\}.$ 

These definitions generalize those of Berstel & Reutenauer 2011.

# Running Example

For all  $w \in a^*b^*$ , we have  $wb \in a^*b^*$  so we get that

$$\forall \boldsymbol{n} \in \mathbb{N}^2, \quad (f \circ \left( \begin{smallmatrix} b \\ b \end{smallmatrix} \right))(\boldsymbol{n}) = f(\boldsymbol{n}) + 1.$$

Some values of the function  $f \circ \begin{pmatrix} ab \\ ab \end{pmatrix}$ :

n	$({}^{0}_{1})$	$(\frac{1}{2})$	$\binom{3}{2}$	$\binom{6}{3}$
$\operatorname{rep}_{\boldsymbol{\mathcal{S}}}(\boldsymbol{n})$	(#)	$\begin{pmatrix} a \\ b \end{pmatrix}$	$\left(\begin{smallmatrix}aa\\\#b\end{smallmatrix}\right)$	(
$\operatorname{rep}_{\boldsymbol{\mathcal{S}}}(\boldsymbol{\textit{n}})\left(\begin{smallmatrix} ab\ ab\end{smallmatrix} ight)$	(#ab) aab)	( aab bab )	( aaab ( #bab )	( aaaab ( #aaab )
$\mathrm{val}_{\boldsymbol{\mathcal{S}}}(\mathrm{rep}_{\boldsymbol{\mathcal{S}}}(\boldsymbol{n})\left(\begin{smallmatrix}ab\\ab\end{smallmatrix} ight))$	$(\frac{4}{7})$	∄	∄	$({}^{16}_{11})$
$(f \circ \left( \begin{smallmatrix} ab \\ ab \end{smallmatrix}  ight))(oldsymbol{n})$	2	0	0	4

◆□▶ ◆□▶ ◆ 臣▶ ◆ 臣▶ ○ 臣 ○ の Q @

# Left-right duality

Like for  $(\mathcal{S}, \mathbb{K})$ -regular sequences, the notion of  $\mathcal{S}$ -kernel is not left-right symmetric.

The  $\mathcal{S}$ -kernel defined above may be seen as the right  $\mathcal{S}$ -kernel.

The left S-kernel of a sequence  $f : \mathbb{N}^d \to \mathbb{K}$  would then be the set of sequences  $\{ \boldsymbol{w} \circ f : \boldsymbol{w} \in \boldsymbol{A}^* \}$  where

$$orall m{n} \in \mathbb{N}^d, \quad (m{w} \circ f)(m{n}) = egin{cases} f(\operatorname{val}_{\mathcal{S}}(m{w}\operatorname{rep}_{\mathcal{S}}(m{n}))) & ext{if } m{w}\operatorname{rep}_{\mathcal{S}}(m{n}) \in m{L} \ 0 & ext{else.} \end{cases}$$

In this case, we need to adapt the conventions used so far:

- ▶ We pad representations of vectors of integers with #'s on the right.
- We ask the numeration language *L* to be suffix-closed.

Provided that these conventions are taken, all our results can be adapted to the left version of the S-kernel and left ( $S, \mathbb{K}$ )-regular sequences.

# First characterization of $(\mathcal{S}, \mathbb{K})$ -regular sequences

A  $\mathbb{K}\text{-submodule}$  of  $\mathbb{K}^{\mathbb{N}^d}$  is called stable if it is closed under all operations

$$\mathbb{K}^{\mathbb{N}^d} o \mathbb{K}^{\mathbb{N}^d}, \; f \mapsto f \circ oldsymbol{w}$$

for all  $\boldsymbol{w} \in \boldsymbol{A}^*$ .

## Theorem (Charlier-Cisternino-Stipulanti 2020)

A sequence  $f : \mathbb{N}^d \to \mathbb{K}$  is  $(\mathcal{S}, \mathbb{K})$ -regular if and only if there exists a stable finitely generated  $\mathbb{K}$ -submodule of  $\mathbb{K}^{\mathbb{N}^d}$  containing f.

The proof of this result generalizes ideas from Berstel & Reutenauer 2011.

It relies on the property (under WH) that for all  $\boldsymbol{u}, \boldsymbol{v} \in \boldsymbol{A}^*$  and  $f : \mathbb{N}^d \to \mathbb{K}$ ,  $(f \circ \boldsymbol{v}) \circ \boldsymbol{u} = f \circ \boldsymbol{u} \boldsymbol{v}$ .

**Remark:** The latter property cannot be obtained from the notion of S-kernel used in Rigo & Maes 2002.

## Second characterization of $(\mathcal{S}, \mathbb{K})$ -regular sequences

The following result is a practical criterion for  $(\mathcal{S},\mathbb{K})$ -regularity.

Theorem (Charlier-Cisternino-Stipulanti 2020)

A sequence  $f : \mathbb{N}^d \to \mathbb{K}$  is  $(\mathcal{S}, \mathbb{K})$ -regular if and only if there exist  $r \in \mathbb{N}$  and  $f_1, f_2, \ldots, f_r : \mathbb{N}^d \to \mathbb{K}$  such that  $f = f_1$  and for all  $\mathbf{a} \in \mathbf{A}$  and all  $i \in \llbracket 1, r \rrbracket$ , there exist  $k_{\mathbf{a},i,1}, \ldots, k_{\mathbf{a},i,r} \in \mathbb{K}$  such that

$$f_i \circ \boldsymbol{a} = \sum_{j=1}^r k_{\boldsymbol{a},i,j} f_j.$$

# Running Example

#### Define the sequence

$$g: \mathbb{N}^2 \to \mathbb{K}, \ \boldsymbol{n} \mapsto egin{cases} f(\boldsymbol{n}) & ext{if } \boldsymbol{n} \in \operatorname{val}_{\mathcal{S}}(\boldsymbol{a}^*) imes \operatorname{val}_{\mathcal{S}}(\boldsymbol{a}^*) \\ 0 & ext{else.} \end{cases}$$

The sequences

- 1. f 6.  $\chi_{\operatorname{val}_{\mathcal{S}}(a^*) \times \operatorname{val}_{\mathcal{S}}(a^*)}$
- 2. g
- 3.  $\chi_{\{0\} \times \operatorname{val}_{\mathcal{S}}(a^*)}$
- 4.  $\chi_{\{0\} \times \mathbb{N}}$
- 5.  $\chi_{\operatorname{val}_{\mathcal{S}}(a^*) \times \{0\}}$

#### satisfy the second characterization.

- \_
- 7.  $\chi_{\operatorname{val}_{\mathcal{S}}(a^*) \times \mathbb{N}}$
- 8.  $\chi_{\mathbb{N}\times\{0\}}$
- 9.  $\chi_{\mathbb{N}\times \mathrm{val}_{\mathcal{S}}(a^*)}$

▲□▶ ▲□▶ ▲ □▶ ▲ □▶ □ のへぐ

10. 1

Let  $\boldsymbol{a} \in \boldsymbol{A}$ . Then

$$f \circ \boldsymbol{a} = \begin{cases} \boldsymbol{g} + \chi_{\operatorname{val}_{\mathcal{S}}(\boldsymbol{a}^*) \times \operatorname{val}_{\mathcal{S}}(\boldsymbol{a}^*)} & \text{if } \boldsymbol{a} = \begin{pmatrix} \boldsymbol{a} \\ \boldsymbol{a} \end{pmatrix} \\ f + 1 & \text{if } \boldsymbol{a} = \begin{pmatrix} \boldsymbol{b} \\ \boldsymbol{b} \end{pmatrix} \\ 0 & \text{else} \end{cases}$$
$$\boldsymbol{g} \circ \boldsymbol{a} = \begin{cases} \boldsymbol{g} + \chi_{\operatorname{val}_{\mathcal{S}}(\boldsymbol{a}^*) \times \operatorname{val}_{\mathcal{S}}(\boldsymbol{a}^*)} & \text{if } \boldsymbol{a} = \begin{pmatrix} \boldsymbol{a} \\ \boldsymbol{a} \end{pmatrix} \\ 0 & \text{else.} \end{cases}$$

Next, take  $X_1, X_2 \in \{\{0\}, \operatorname{val}_{\mathcal{S}}(a^*), \mathbb{N}\}$  such that not both  $X_1, X_2$  are equal to  $\{0\}$ . Then

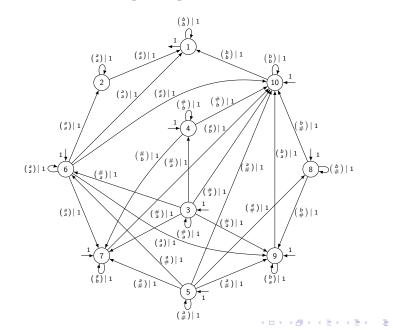
$$\chi_{X_1 \times X_2} \circ \boldsymbol{a} = \chi_{Y_1 \times Y_2}$$

where

$$\forall i \in \{1, 2\}, \quad Y_i = \begin{cases} \{0\} & \text{if } a_i = \# \\ \operatorname{val}_{\mathcal{S}}(a^*) & \text{if } a_i = a \text{ and } X_i \in \{\operatorname{val}_{\mathcal{S}}(a^*), \mathbb{N}\} \\ \mathbb{N} & \text{if } a_i = b \text{ and } X_i = \mathbb{N} \\ \emptyset & \text{else.} \end{cases}$$

▲□▶ ▲□▶ ▲ 三▶ ▲ 三▶ 三三 - のへぐ

# An $\mathbb{N}$ -automaton recognizing the series $S_f$



# Third characterization (whenever $\mathbb{K}$ is finite or is a ring)

Since  $\langle \ker_{\mathcal{S}}(f) \rangle_{\mathbb{K}}$  is stable, it is the smallest stable  $\mathbb{K}$ -submodule of  $\mathbb{K}^{\mathbb{N}^d}$  containing f.

For an arbitrary commutative semiring  $\mathbb{K}$ , the fact that f is a  $(\mathcal{S}, \mathbb{K})$ -regular sequence does not imply that  $\langle \ker_{\mathcal{S}}(f) \rangle_{\mathbb{K}}$  is finitely generated.

The following theorem provides us with some cases where  $\langle \ker_{\mathcal{S}}(f) \rangle_{\mathbb{K}}$  is indeed finitely generated.

# Theorem (Charlier-Cisternino-Stipulanti 2020) Let $f: \mathbb{N}^d \to \mathbb{K}$ be a sequence.

- If  $\langle \ker_{\mathcal{S}}(f) \rangle_{\mathbb{K}}$  is finitely generated then f is  $(\mathcal{S}, \mathbb{K})$ -regular.
- If f is (S, K)-regular and if moreover K is finite or is a ring, then ⟨kers(f)⟩<sub>K</sub> is finitely generated.

・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・

# Running Example

The kernel  $\langle \ker_{\mathcal{S}}(f) \rangle_{\mathbb{N}}$  is not finitely generated.

For 
$$\boldsymbol{w} \in \boldsymbol{A}^* \setminus \begin{pmatrix} a \\ a \end{pmatrix}^* \begin{pmatrix} b \\ b \end{pmatrix}^*$$
, we have  $f \circ \boldsymbol{w} = 0$ .

For 
$$k \in \mathbb{N}$$
, we have  $f \circ \begin{pmatrix} b \\ b \end{pmatrix}^k = f + k$ .

For  $k, k' \in \mathbb{N}$  with  $k \ge 1$ , we have

$$\left(f \circ \begin{pmatrix} a \\ a \end{pmatrix}^{k} \begin{pmatrix} b \\ b \end{pmatrix}^{k'}\right)(\boldsymbol{n}) = \begin{cases} f(\boldsymbol{n}) + k + k' & \text{if } \boldsymbol{n} \in \left(\operatorname{val}_{\mathcal{S}}(a^{*})\right)^{2} \\ 0 & \text{else.} \end{cases}$$

However, since f is  $(\mathcal{S}, \mathbb{N})$ -regular, hence also  $(\mathcal{S}, \mathbb{Z})$ -regular, our third characterization implies that  $\langle \ker_{\mathcal{S}}(f) \rangle_{\mathbb{Z}}$  is finitely generated.

Indeed, it is easily seen that

$$\langle \ker_{\boldsymbol{\mathcal{S}}}(f) \rangle_{\mathbb{Z}} = \langle f, f \circ \begin{pmatrix} a \\ a \end{pmatrix}, f \circ \begin{pmatrix} b \\ b \end{pmatrix}, f \circ \begin{pmatrix} aa \\ aa \end{pmatrix} \rangle_{\mathbb{Z}}.$$

▲□▶ ▲□▶ ▲□▶ ▲□▶ ■ ●の00

## Part 3

 $\mathcal{S}$ -Automatic sequences

# Characterization of $\mathcal{S}$ -automatic sequences

A sequence  $f : \mathbb{N}^d \to \Delta$  is called *S*-automatic if there exists a DFAO  $\mathcal{A} = (Q, q_0, \delta, \mathbf{A}, \tau, \Delta)$  such that

$$\forall \boldsymbol{n} \in \mathbb{N}^d, \quad f(\boldsymbol{n}) = \tau(\delta(q_0, \operatorname{rep}_{\boldsymbol{\mathcal{S}}}(\boldsymbol{n}))).$$

This definition was introduced in Rigo 2000.

In this work, we consider sequences f with images in  $\mathbb{K}$ , so the output alphabet  $\Delta$  is seen as a subset of  $\mathbb{K}$ .

## Theorem (Charlier-Cisternino-Stipulanti 2020)

A sequence  $f : \mathbb{N}^d \to \mathbb{K}$  is *S*-automatic if and only if ker<sub>*S*</sub>(*f*) is finite.

Even though, for d = 1, the statement of this result coincide with that of a result from Rigo & Maes 2002, this is indeed a new result since we are working with a different notion of S-kernel.

As a consequence, we obtain that, for any given sequence f, both kernels are simultaneously finite.

Characterization of S-automatic sequences among  $(S, \mathbb{K})$ -regular sequences (whenever  $\mathbb{K}$  is finite or is a ring)

Theorem (Charlier-Cisternino-Stipulanti 2020) Let  $f: \mathbb{N}^d \to \mathbb{K}$ .

- ▶ If f is S-automatic then it is  $(S, \mathbb{K})$ -regular.
- If f is (S, K)-regular and takes only finitely many values, and if moreover K is finite or is a ring, then f is S-automatic.

▲□▶ ▲□▶ ▲□▶ ▲□▶ ■ ●の00

#### Part 4

Enumerating S-recognizable properties of S-automatic sequences give rise to  $(S, \mathbb{N})$ -regular sequences

# First ingredient: generating $(\mathcal{S}, \mathbb{N})$ -regular sequences from $(\mathcal{S}, \mathcal{S}')$ -recognizable sets

In this part, we focus on the semirings  $\mathbb{N}$  and  $\mathbb{N}_{\infty} = \mathbb{N} \cup \{\infty\}$ .

A subset X of  $\mathbb{N}^d$  is **S**-recognizable if the language  $\operatorname{rep}_{\mathcal{S}}(X)$  is regular.

Ingredient 1 (Charlier-Cisternino-Stipulanti 2020) Let  $\mathcal{S}$  and  $\mathcal{S}'$  be d- and d'-dimensional ANS respectively. If X is an  $(\mathcal{S}, \mathcal{S}')$ -recognizable subset of  $\mathbb{N}^{d+d'}$ , then the sequence

$$f: \mathbb{N}^d \to \mathbb{N}_{\infty}, \ \boldsymbol{n} \mapsto \operatorname{Card}\{\boldsymbol{n}' \in \mathbb{N}^{d'}: \ \binom{\boldsymbol{n}}{\boldsymbol{n}'} \in X\}$$

is  $(\mathcal{S}, \mathbb{N}_{\infty})$ -regular. If moreover  $f(\mathbb{N}) \subseteq \mathbb{N}$  then f is  $(\mathcal{S}, \mathbb{N})$ -regular.

# Second ingredient: $\boldsymbol{\mathcal{S}}$ -recognizable enumerations of $\mathbb{N}^d$

We define an enumeration  $E_{\mathcal{S}} \colon \mathbb{N}^d \to \mathbb{N}$  recursively as follows.

We fix a total order on **A** and we consider the induced radix order on  $\mathbf{A}^*$ . Then we define a total order  $<_{\mathbf{S}}$  on  $\mathbb{N}^d$  by declaring that

$$orall m{m},m{n}\in\mathbb{N}^d, \quad m{m}<_{\mathcal{S}}m{n}\iff \operatorname{rep}_{\mathcal{S}}(m{m})<_{\operatorname{rad}}\operatorname{rep}_{\mathcal{S}}(m{n}).$$

For all  $\boldsymbol{n} \in \mathbb{N}^d$ , we define

$$E_{\mathcal{S}}(\boldsymbol{n}) = i$$

if **n** is the *i*-th element of  $\mathbb{N}^d$  w.r.t.  $<_{\boldsymbol{S}}$ .

## Ingredient 2

For each  $\diamond \in \{=,>,<\},$  the set

$$\{(\overset{\boldsymbol{m}}{\boldsymbol{n}})\in\mathbb{N}^{2d}\colon E_{\boldsymbol{\mathcal{S}}}(\boldsymbol{m})\diamond E_{\boldsymbol{\mathcal{S}}}(\boldsymbol{n})\}$$

is  $(\boldsymbol{\mathcal{S}}, \boldsymbol{\mathcal{S}})$ -recognizable.

# Running Example

Assuming  $\binom{\#}{a} < \binom{\#}{b} < \binom{a}{\#} < \binom{a}{a} > \binom{a}{b} < \binom{a}{b} < \binom{b}{\#} < \binom{b}{b}$ , we obtain

~	↑ I						
_							
bb	15	16	17	28	29	35	
ab	10	12	14	25	27	34	
аа	9	11	13	24	26	33	
Ь	2	5	8	20	23	32	
а	1	4	7	19	22	31	
ε	0	3	6	18	21	30	
	ε	a	Ь	aa	ab	bb	

▲□▶ ▲□▶ ▲ 三▶ ▲ 三▶ 三三 - のへぐ

# Third ingredient: $\mathcal{S}$ -recognizable predicates

A predicate P on  $\mathbb{N}^{md}$  is **S**-recognizable is the set

 $\{\boldsymbol{n} \in \mathbb{N}^{md} : P(\boldsymbol{n}) \text{ is true}\}$ 

is  $(\mathcal{S}, \ldots, \mathcal{S})$ -recognizable (where  $\mathcal{S}$  is repeated m times).

The following result generalizes ideas from Bruyère, Hansel, Michaux & Villemaire 1996 and Charlier, Rampersad & Shallit 2012 to ANS.

## Ingredient 3

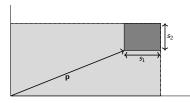
Any predicate on  $\mathbb{N}^{md}$  that is defined recursively from  $\mathcal{S}$ -recognizable predicates by only using the logical connectives  $\wedge, \vee, \neg, \Longrightarrow, \iff$  and the quantifiers  $\forall$ and  $\exists$  on variables describing elements of  $\mathbb{N}^d$ , is  $\mathcal{S}$ -recognizable.

## Corollary

If P a such a predicate on  $\mathbb{N}^d$  then the closed predicates  $\forall x P(x), \exists x P(x) \text{ and } \exists^{\infty} x P(x)$  are decidable.

# Application to factor complexity

The factor complexity of  $f : \mathbb{N}^d \to \mathbb{K}$  is the function  $\rho_f : \mathbb{N}^d \mapsto \mathbb{N}_\infty$  that maps each  $s \in \mathbb{N}^d$  to the number of factors of size s occurring in f.



If the sequence f has a finite image (as is the case for automatic sequences) then for all  $s \in \mathbb{N}^d$ ,  $\rho_f(s) \in \mathbb{N}$ .

## Theorem (Charlier-Cisternino-Stipulanti 2020)

Let S be an ANS such that addition is S-recognizable, i.e., the 3d-ary predicate x + y = z is S-recognizable. Then the factor complexity of an S-automatic sequence is an  $(S, \mathbb{N})$ -regular sequence.

#### Proof.

Let f be an  $\mathcal{S}$ -automatic d-dimensional sequence.

For all  $\boldsymbol{s} \in \mathbb{N}^d$ ,  $\rho_f(\boldsymbol{s})$  is equal to

 $\operatorname{Card} \{ \boldsymbol{p} \in \mathbb{N}^d : \forall \boldsymbol{p}' \in \mathbb{N}^d \left( E_{\boldsymbol{\mathcal{S}}}(\boldsymbol{p}') < E_{\boldsymbol{\mathcal{S}}}(\boldsymbol{p}) \implies \exists \boldsymbol{i} < \boldsymbol{s}, f(\boldsymbol{p}' + \boldsymbol{i}) \neq f(\boldsymbol{p} + \boldsymbol{i}) \right) \}.$ 

By Ingredient 1, it suffices to prove that the set

$$X := \{ (\boldsymbol{s}, \boldsymbol{p}) \in \mathbb{N}^{2d} \colon \forall \boldsymbol{p}' \in \mathbb{N}^d \left( \mathsf{E}_{\boldsymbol{\mathcal{S}}}(\boldsymbol{p}') < \mathsf{E}_{\boldsymbol{\mathcal{S}}}(\boldsymbol{p}) \implies \exists \boldsymbol{i} < \boldsymbol{s}, \, f(\boldsymbol{p}' + \boldsymbol{i}) \neq f(\boldsymbol{p} + \boldsymbol{i}) \right) \}$$

is  $(\boldsymbol{\mathcal{S}}, \boldsymbol{\mathcal{S}})$ -recognizable.

By Ingredient 2, the predicate  $E_{\mathcal{S}}(\mathbf{p}') < E_{\mathcal{S}}(\mathbf{p})$  is  $\mathcal{S}$ -recognizable.

By Ingredient 3, since f is S-automatic and addition is S-recognizable, we get that X is S-recognizable.

#### Part 5

 $(\boldsymbol{\mathcal{S}}, \boldsymbol{\mathcal{S}}')$ -Synchronized sequences

# $(\mathcal{S}, \mathcal{S}')$ -Synchronized sequences

In this section, we consider a (d + d')-dimensional ANS  $(\mathcal{S}, \mathcal{S}') = (\mathcal{S}_1, \dots, \mathcal{S}_d, \mathcal{S}'_1, \dots, \mathcal{S}'_{d'}).$ 

A sequence  $f : \mathbb{N}^d \to \mathbb{N}^{d'}$  is  $(\mathcal{S}, \mathcal{S}')$ -synchronized if its graph

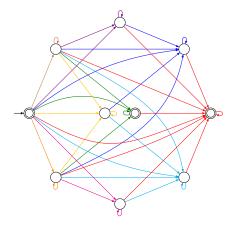
$$\mathcal{G}_f = \{ \left( \begin{smallmatrix} \boldsymbol{n} \\ f(\boldsymbol{n}) \end{smallmatrix} \right) : \boldsymbol{n} \in \mathbb{N}^d \}$$

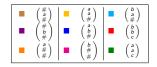
is an  $(\mathcal{S}, \mathcal{S}')$ -recognizable subset of  $\mathbb{N}^{d+d'}$ .

# Running Example

By a pumping argument, it is easily seen that the sequence f is not  $(\mathcal{S}, \mathcal{S})$ -synchronized.

However, the sequence f is  $(\mathcal{S}, \mathcal{S}_c)$ -synchronized where  $\mathcal{S}_c = (c^*, c)$ .





▲□▶ ▲□▶ ▲ 三▶ ▲ 三▶ 三 のへぐ

#### Proposition

- ▶ For all  $\mathbf{k} \in \mathbb{N}^d$ , the sequence  $\mathbb{N}^d \to \mathbb{N}^d$ ,  $\mathbf{n} \mapsto \mathbf{n} + \mathbf{k}$  is  $(\mathcal{S}, \mathcal{S})$ -synchronized.
- Any *S*-automatic sequence  $f : \mathbb{N}^d \to \mathbb{N}^{d'}$  is (S, S')-synchronized.
- Any  $(\mathcal{S}, \mathcal{S}')$ -synchronized sequence  $f : \mathbb{N}^d \to \mathbb{N}$  is  $(\mathcal{S}, \mathbb{N})$ -regular.

#### Sketch of the proof

The 1st item generalizes a result from Charlier, Lacroix & Rampersad 2011.

The 3rd item follows from Ingredient 3 since for any  $f: \mathbb{N}^d \to \mathbb{N}$ , we have

$$\forall \boldsymbol{n} \in \mathbb{N}^d, \quad f(\boldsymbol{n}) = \operatorname{Card} \{ \ell \in \mathbb{N} \colon \ell < f(\boldsymbol{n}) \} \\ = \operatorname{Card} \{ \ell \in \mathbb{N} \colon \exists \boldsymbol{m}, \ \binom{\boldsymbol{n}}{m} \in \mathcal{G}_f \land \ell < \boldsymbol{m} \}.$$

▲□▶ ▲□▶ ▲□▶ ▲□▶ ■ ●の00

Even though both families of S-automatic sequences and  $(S, \mathbb{N})$ -regular sequences are closed under sum, product and product by a constant, it is no longer the case of the family of (S, S')-synchronized sequences.

For instance, the sequence  $\mathbb{N} \to \mathbb{N}$ ,  $n \mapsto n$  is (S, S)-synchronized for any abstract numeration system S.

However, the sequence  $\mathbb{N} \to \mathbb{N}$ ,  $n \mapsto 2n$  is not  $(\mathcal{S}, \mathcal{S})$ -synchronized in general. For example, it is not for the unary system  $\mathcal{S} = (c^*, c)$  since the language

$$\left\{ \left( \begin{array}{c} \#^n c^n \\ c^{2n} \end{array} \right) : n \in \mathbb{N} \right\}$$

is not regular.

## Synchronized relations

The graph of a relation  $R: A^* \to B^*$  (where A and B are arbitrary alphabets) is

$$\mathcal{G}_{R} = \{ \left( \begin{smallmatrix} u \\ v \end{smallmatrix} \right) \in A^{*} \times B^{*} \colon uRv \}.$$

Let  $\$ \notin A \cup B$ . A relation  $R: A^* \to B^*$  is synchronized if the language

$$(\mathcal{G}_R)^{\$} = \{ \begin{pmatrix} u \\ v \end{pmatrix}^{\$} : \begin{pmatrix} u \\ v \end{pmatrix} \in \mathcal{G}_R \}$$

is regular.

For a sequence  $f: \mathbb{N}^d \to \mathbb{N}^{d'}$ , we define a relation  $R_{f, \mathcal{S}, \mathcal{S}'}: \mathcal{A}^* \to (\mathcal{A}')^*$  by

$$\mathcal{G}_{\mathcal{R}_{f,\boldsymbol{\mathcal{S}},\boldsymbol{\mathcal{S}}'}} = \{ \begin{pmatrix} \boldsymbol{w} \\ \boldsymbol{w}' \end{pmatrix} \in \boldsymbol{L} \times \boldsymbol{L}' \colon f(\operatorname{val}_{\boldsymbol{\mathcal{S}}}(\boldsymbol{w})) = \operatorname{val}_{\boldsymbol{\mathcal{S}}'}(\boldsymbol{w}') \}.$$

### Proposition

A sequence  $f : \mathbb{N}^d \to \mathbb{N}^{d'}$  is  $(\mathcal{S}, \mathcal{S}')$ -synchronized if and only if the relation  $R_{f, \mathcal{S}, \mathcal{S}'}$  is synchronized.

The composition of synchronized sequences is synchronized.

Since the composition of synchronized relations is synchronized (Frougny & Sakarovitch 2010), we obtain the following result.

## Proposition

Let  $f: \mathbb{N}^d \to \mathbb{N}^{d'}$  be an  $(\mathcal{S}, \mathcal{S}')$ -synchronized sequence and  $g: \mathbb{N}^{d'} \to \mathbb{N}^{d''}$  be an  $(\mathcal{S}', \mathcal{S}'')$ -synchronized sequence. Then  $g \circ f: \mathbb{N}^d \to \mathbb{N}^{d''}$  is  $(\mathcal{S}, \mathcal{S}'')$ -synchronized.

This contrasts with the well-known fact that the family of regular sequences is not closed under composition (Allouche & Shallit 1992).

#### Part 6

#### Mixing regular sequences and synchronized sequences

The composition of a synchronized relation and a  $\mathbb{K}$ -recognizable series is  $\mathbb{K}$ -recognizable.

For a relation  $R: A^* \to B^*$  and a series  $S: B^* \to \mathbb{K}$  such that for all  $u \in A^*$ , the language  $\{v \in B^*: uRv\}$  is finite, we define

$$S \circ R: A^* \to \mathbb{K}, \ u \mapsto \sum_{\substack{v \in B^* \\ u R v}} (S, v).$$

Theorem (Charlier-Cisternino-Stipulanti 2020) If R is synchronized and S is  $\mathbb{K}$ -recognizable, then  $S \circ R$  is  $\mathbb{K}$ -recognizable.

▲□▶ ▲□▶ ▲□▶ ▲□▶ ■ ●の00

The composition of a synchronized sequence and a regular one is regular.

## Corollary

If  $f : \mathbb{N}^d \to \mathbb{N}^{d'}$  is  $(\mathcal{S}, \mathcal{S}')$ -synchronized and  $g : \mathbb{N}^{d'} \to \mathbb{K}$  is  $(\mathcal{S}', \mathbb{K})$ -regular, then  $g \circ f : \mathbb{N}^d \to \mathbb{K}$  is  $(\mathcal{S}, \mathbb{K})$ -regular.

## Corollary

Suppose that  $\mathbb{K}$  is finite or is a ring. If  $f : \mathbb{N}^d \to \mathbb{N}^{d'}$  is an S-automatic sequence and  $g : \mathbb{N}^{d'} \to \mathbb{K}$  is an  $(S', \mathbb{K})$ -regular sequence, then the sequence  $g \circ f : \mathbb{N}^d \to \mathbb{K}$  is S-automatic.

#### Part 7

Robustness of  $(\boldsymbol{U},\mathbb{K})$ -regular sequences for Pisot numeration systems  $\boldsymbol{U}$ 



#### Theorem (Charlier-Cisternino-Stipulanti 2020)

For  $f : \mathbb{N}^d \to \mathbb{K}$  and a d-tuple  $\boldsymbol{U} = (U_1, \dots, U_d)$  of Pisot numeration systems, the following assertions are equivalent.

- 1. The sequence f is  $(\boldsymbol{U}, \mathbb{K})$ -regular.
- 2. For all finite alphabets  $\mathbf{A} \subset \mathbb{Z}^d$ , the series  $\sum_{\mathbf{w} \in \mathbf{A}^*} f(||val_{\mathbf{U}}(\mathbf{w})||) \mathbf{w}$  is K-recognizable.
- 3. The series  $\sum_{\boldsymbol{w}\in\boldsymbol{A}_{U}^{*}} f(\operatorname{val}_{\boldsymbol{U}}(\boldsymbol{w})) \boldsymbol{w}$  is  $\mathbb{K}$ -recognizable.
- There exists a K-recognizable series S: A<sup>\*</sup><sub>U</sub> → K such that for all n ∈ N<sup>d</sup>, (S, rep<sub>U</sub>(n)) = f(n).

## References

- Allouche and Shallit, The ring of k-regular sequences, TCS 98, 1992, 163–197.
- Allouche, Scheicher and Tichy, Regular maps in generalized number systems, Math. Slovaca 50, 2000, 41–58.
- Berstel and Reutenauer, Noncommutative rational series with applications, Encyclopedia of Mathematics and its Applications 137, Cambridge Univ. Press, 2011.
- Bruyère, Hansel, Michaux and Villemaire, Logic and p-Recognizable Sets of Integers, Bull. Belg. Math. Soc. Simon Stevin 1, 1994, 191–238.
- Charlier, Cisternino and Stipulanti, Robustness of Pisot-regular sequences, submitted.
- Charlier, Lacroix and Rampersad, Multi-dimensional sets recognizable in all abstract numeration systems, RAIRO Theor. Inform. Appl. 46, 2012, 51–65.
- Charlier, Rampersad and Shallit, Enumeration and decidable properties of automatic sequences, Internat. J. Found. Comput. Sci. 23, 2012, 1035–1066.
- Frougny and Sakarovitch, Number representation and finite automata, Combinatorics, automata and number theory, Encyclopedia Math. Appl. 135,34–107, Cambridge Univ. Press, Cambridge, 2010.
- Frougny and Solomyak, On representation of integers in linear numeration systems, Ergodic theory of Z<sup>d</sup> actions, London Math. Soc. Lecture Note Ser. 228, 345–368, Cambridge Univ. Press, Cambridge, 1996.
- Lecomte and Rigo, Numeration systems on a regular language, TCS 34, 2001, 27–44.
- Rigo and Maes, More on generalized automatic sequences, J. Autom. Lang. Comb. 7, 2002, 351–376.

▲□▶ ▲□▶ ▲□▶ ▲□▶ □ のQで